

Performance Analysis for Process Improvement

Michael V. Petrovich

LUFTIG & WARREN
INTERNATIONAL



Introduction



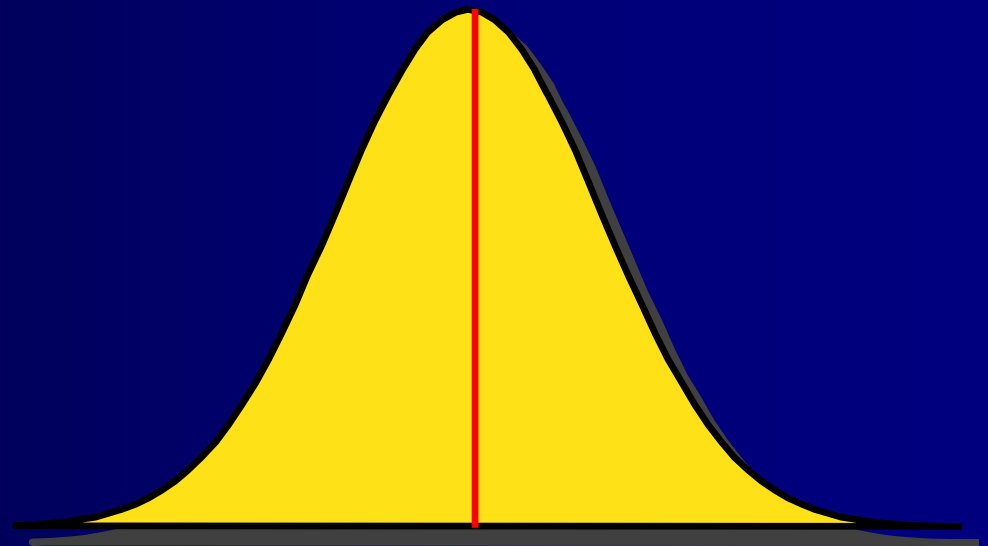
Warning:
This material may cause a
paradigm shift.
Should you not wish your
paradigm shifted you may
want to leave at this time.

Presentation Outline

- ◆ Introduction
- ◆ Sampling
- ◆ Process Performance Measures
- ◆ Process Performance Analysis
- ◆ Example

Quality Goals

- ◆ The elimination of nonconformance
- ◆ The minimization of variation around appropriate targets



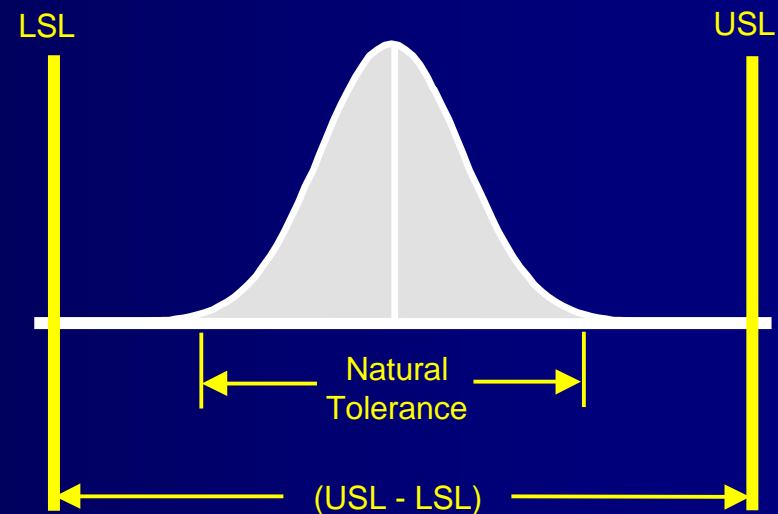
Quality Goal Questions

- ◆ How well are these quality goals being realized?
- ◆ What must be done to improve?



Traditional Approach

- ◆ Establish statistical control of a process
- ◆ Determine the underlying process distribution
- ◆ Conduct a Process Capability Analysis



Scenario #1

- ◆ A Plastic Lid Manufacturer
 - 40 molding machines
 - 54 Tools per molder
 - Tools are replaced every few weeks
 - Tool-to-Tool Differences found
 - Differences from Raw Material batches
 - Variation from maintenance cycles, startup periods, and operator adjustments

Scenario #2

- ◆ A Metal Crown Company
 - Eight Presses
 - Each Press Contains 22 dies
 - Differences exist die-to-die and press-to-press
 - The process exhibits tool wear
 - Slight fluctuations are observed with lot-to-lot changes in steel

Scenario #3

- ◆ An Aluminum Can Manufacturer
 - Two Lines in One plant
 - Each Line contains 16 stations
 - Station-to-station differences are found
 - This process also undergoes tool wear
 - Perfect through-time stability (or control) is not observed in many of the stations

Conclusions

- ◆ Traditional capability methods are inadequate in these situations
- ◆ Without statistical control, capability assessment cannot be made
- ◆ The number of process streams yields a nightmare to mathematically model
- ◆ Practitioners still need a means of assessing their process

Performance Measures

- ◆ In recent years “Process Performance Measures” have gained popularity

Capability

C_{pk}

C_p

C_{pm}



Performance

P_{pk}

P_p

P_{pm}

Process Performance Measures Differ from Capability Measures

- ◆ Statistical control not required for analysis
- ◆ Common and special causes may both be present
- ◆ Do not consider distributional shape
- ◆ Measure past performance only
- ◆ Do not predict the future

Process Performance Measures

- ◆ Assess total observed variation produced during a particular time period
- ◆ Can be used as validation measures for process improvement

Process Performance Analysis

- ◆ Uses Process Performance Measures, descriptive measures, and graphical techniques to study processes

PPA

With Process Performance Analysis

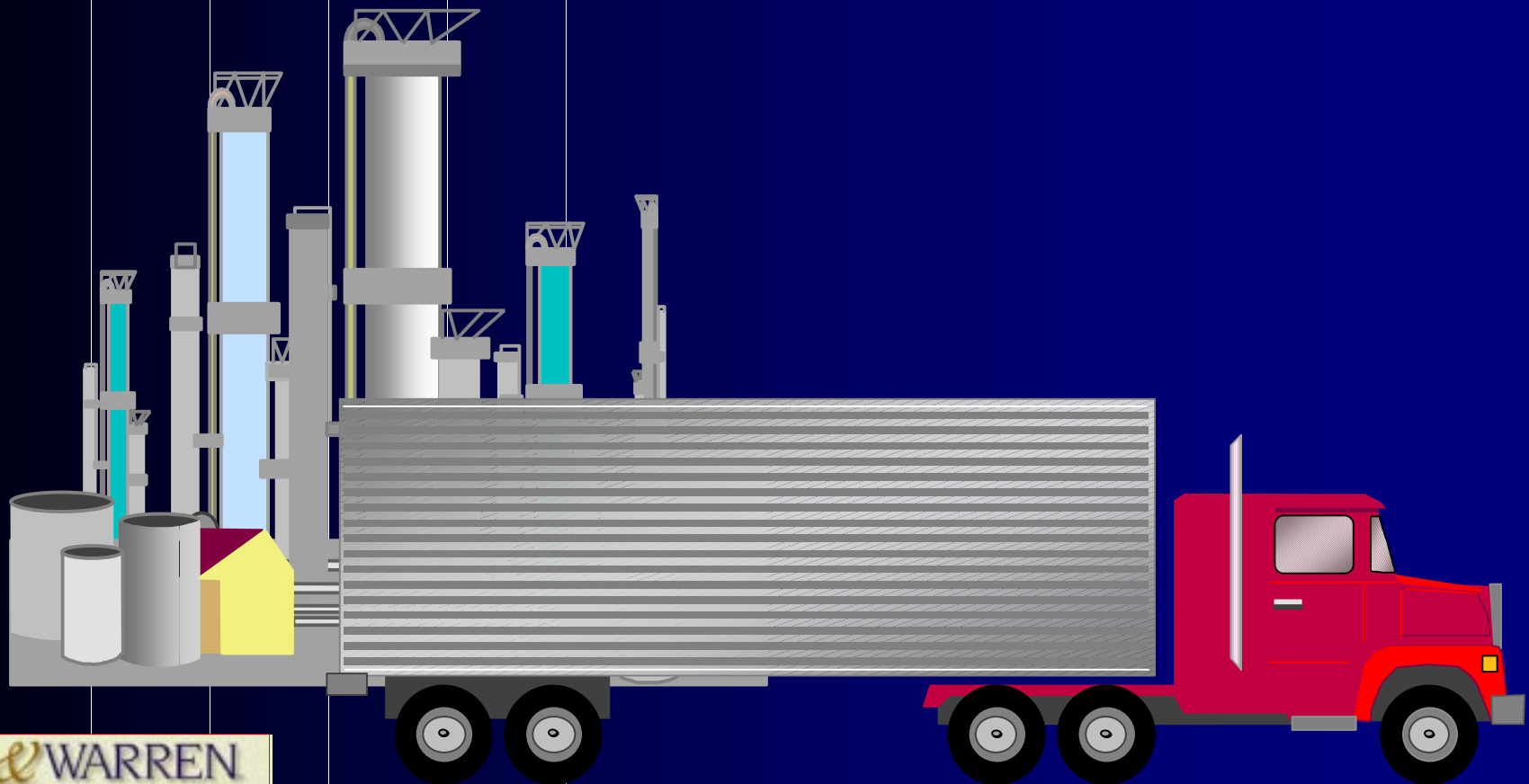
- ◆ Assess processes before statistical control is achieved
- ◆ Determine sources of process loss
- ◆ Make comparisons among characteristics, products, plants, and suppliers
- ◆ Determine control and improvement priorities
- ◆ Assess results of process improvement efforts

Presentation Outline

- ◆ Introduction
- ◆ Sampling
- ◆ Process Performance Measures
- ◆ Process Performance Analysis
- ◆ Example

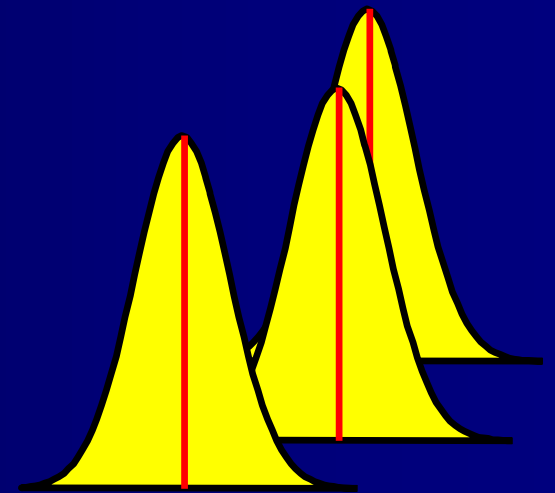
Sampling

- ◆ Measure total outgoing variation as sent to the customer



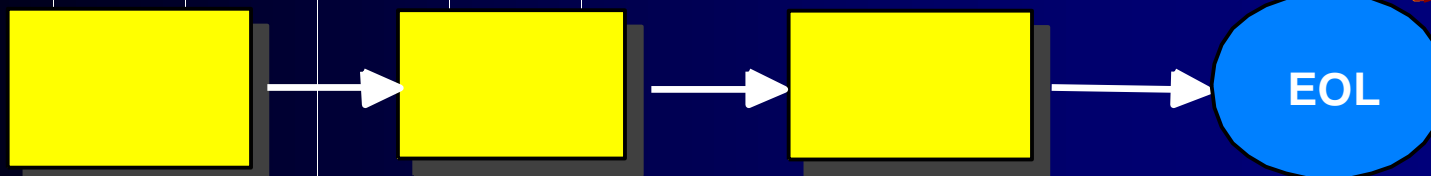
Total Outgoing Variation

- ◆ Variation inherent to the process
- ◆ Variation from sources such as:
 - differences from lot-to-lot,
 - tool-to-tool,
 - line-to-line,
 - shift-to-shift,
 - setup-to-setup,
 - day-to-day,
 - and throughout maintenance cycles.



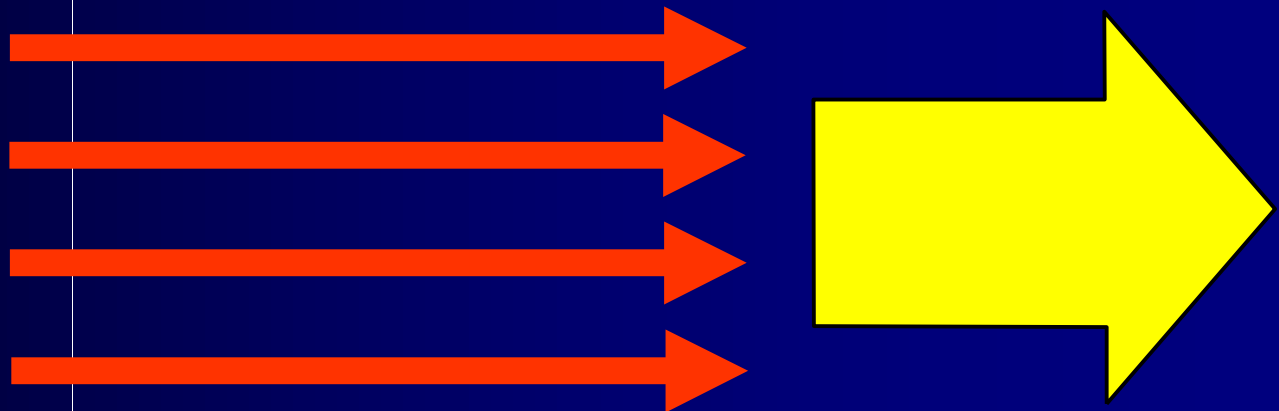
Sampling

- ◆ Generally done at end-of-line
- ◆ May be done upstream if characteristic formation is complete and will not change
- ◆ Should be done after sorting



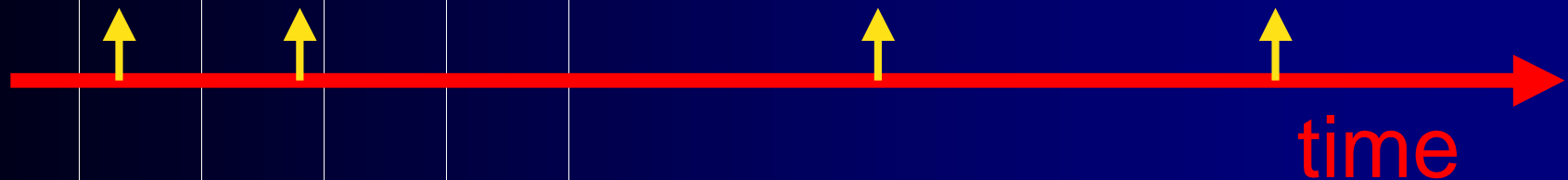
Stratification

- ◆ Used for process diagnosis
- ◆ Stratifications may include:
 - Lines
 - Tools
 - Stations
 - Cavities
 - or Other



Sample Frequency

- ◆ Samples taken periodically within defined time periods



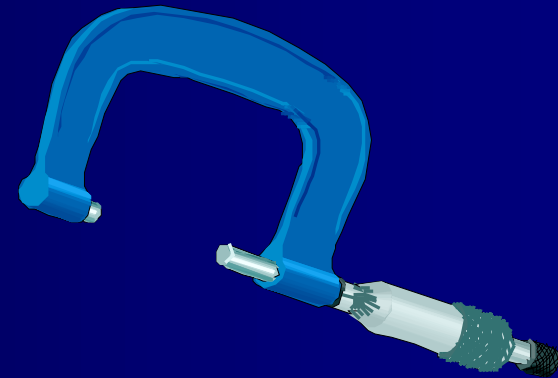
Sampling Amount

- ◆ Long periods are preferred
- ◆ Minimum one month, preferably, three months worth of data
- ◆ 1,000 is a desired minimum
- ◆ 10,000 or more may provide better analysis



Measurement System Analysis

- ◆ Measurement system analysis should be conducted prior to data collection



Presentation Outline

- ◆ Introduction
- ◆ Sampling
- ◆ Process Performance Measures
- ◆ Process Performance Analysis
- ◆ Example

Process Performance Measures

- ◆ Ppm
- ◆ Ppk
- ◆ Pp
- ◆ Pp(process stream)
- ◆ Cp(potential)

Ppm

- ◆ Assessing overall performance

$$P_{pm} = \frac{USL - LSL}{6 \sqrt{\frac{\sum (X-T)^2}{n-1}}} = \frac{USL - LSL}{6 \sqrt{s^2 + \frac{n}{n-1} (\bar{X}-T)^2}}$$



Ppm will improve as:

- ◆ Process brought into better control
- ◆ Differences in tooling, setup, operators, machines, and material are reduced
- ◆ Process brought on target
- ◆ Inherent process variation reduced



Ppk

- ◆ Assessing overall performance without targets



$$Ppk = \frac{\min(USL - \bar{X}, \bar{X} - LSL)}{3s}$$

Pp

- ◆ Assessing performance as if process was on target

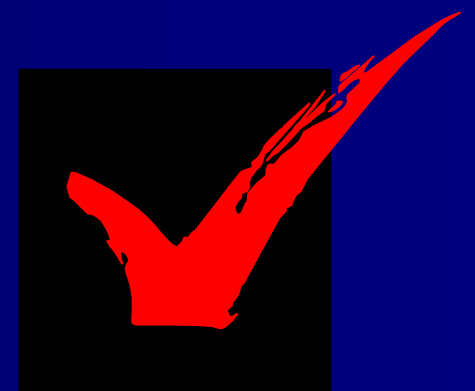


$$P_p = \frac{(USL - LSL)}{6s}$$

% Off-Target

- ◆ A further diagnostic measure

$$\% \text{ Off-Target} = \frac{|\bar{X} - T|}{USL - LSL} \times 100\%$$



Pp (process stream)

- ◆ Assessing performance without process stream differences

$$Pp \text{ (process stream)} = \frac{(USL - LSL)}{6 S \text{ within stream}}$$

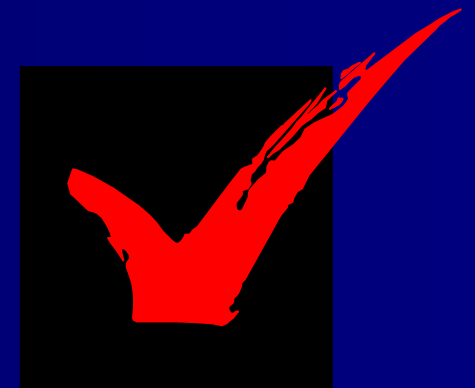


% Process Stream Difference

- ◆ A further diagnostic measure

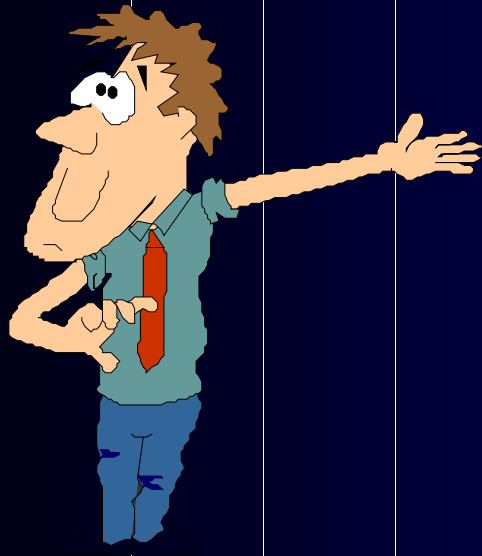
% Process Stream Difference =

$$\frac{|\bar{X}_{max\ stream} - \bar{X}_{min\ stream}|}{USL - LSL} \times 100\%$$



Cp (potential)

- ◆ Assessing Potential Performance



$$Cp(\text{potential}) = \frac{(USL - LSL)}{6 \hat{\sigma}_{\text{potential}}}$$

Potential Standard Deviation

- ◆ Short-term capability studies
- ◆ Estimated using average or median dispersion statistics

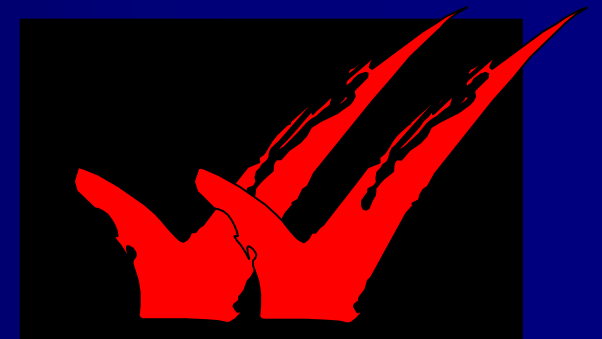
$\hat{\sigma}$ potential

A further check

- ◆ Observed Nonconforming Rates

Nonconforming Proportion (ppm) =

$$\frac{1,000,000 \times \text{Total Nonconforming}}{\text{Total Items}}$$



Attributes Data

- ◆ Similar sampling may be done for attributes
- ◆ Nonconforming rate used to evaluate attribute performance



Presentation Outline

- ◆ Introduction
- ◆ Sampling
- ◆ Process Performance Measures
- ◆ Process Performance Analysis
- ◆ Example

Stacked Bar Charts

- ◆ The following Relation holds:

$$P_{pm} \leq P_p \leq P_{p(\text{process stream})} \leq C_{p(\text{potential})}$$

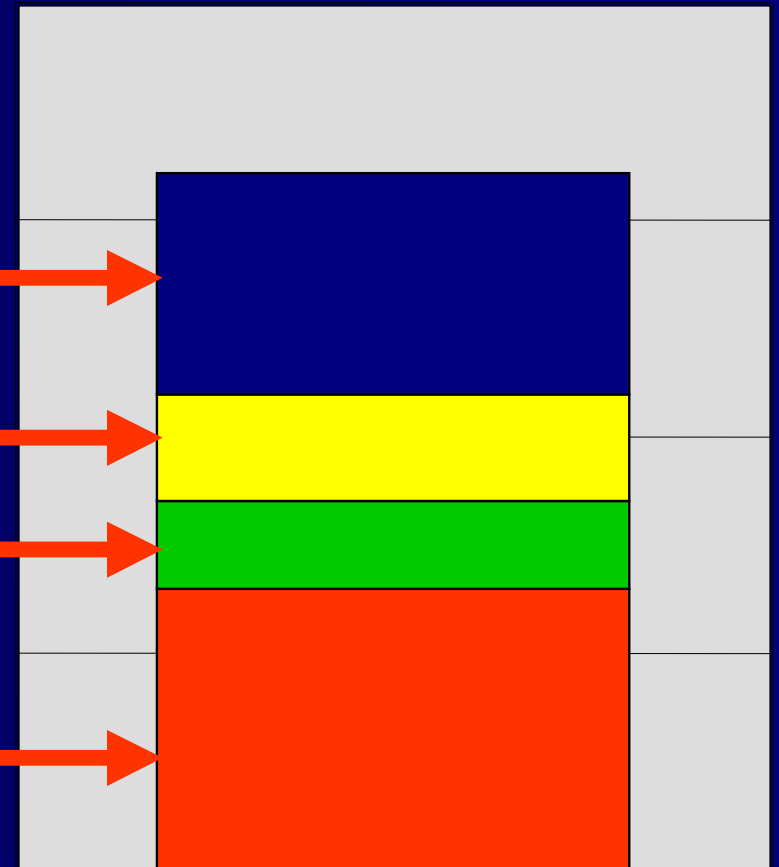
Stacked Bar Charts

$C_p(\text{potential}) - P_p(\text{process Stream})$

$P_p(\text{process stream}) - P_p$

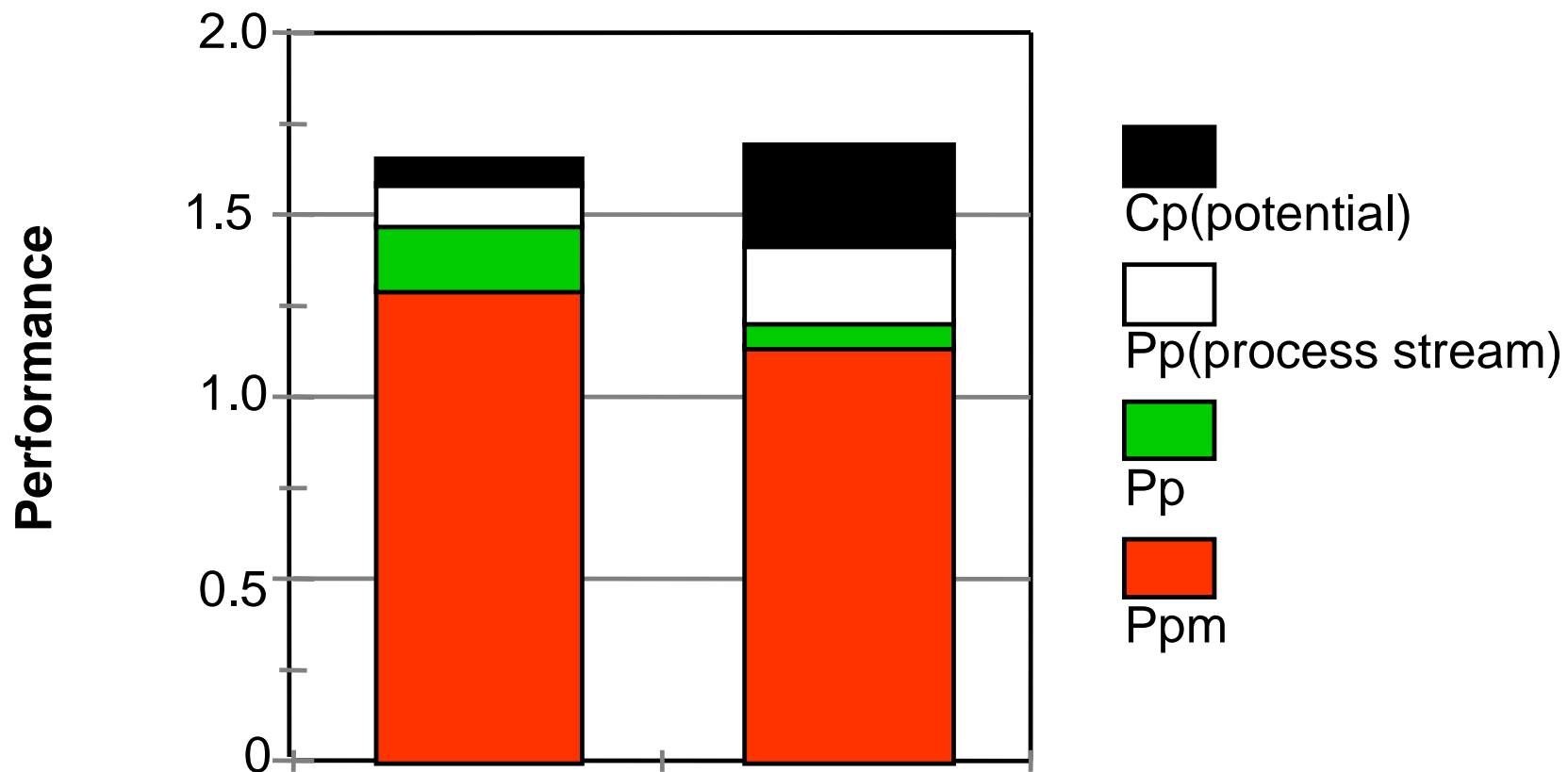
$P_p - P_{pm}$

P_{pm}

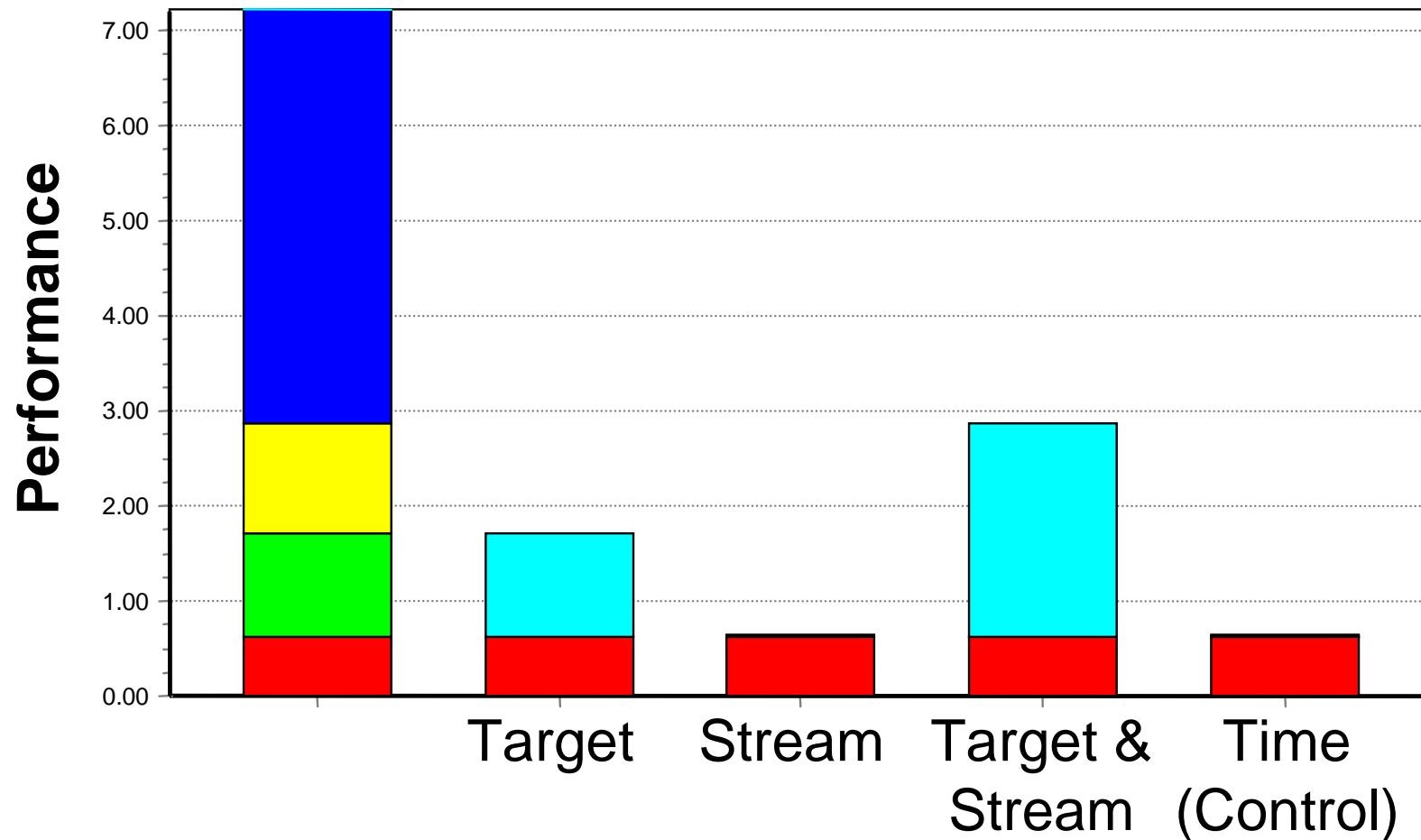


Stacked Bar Charts

Process Performance Analysis



Cannot Compare Areas of the Stacked Components



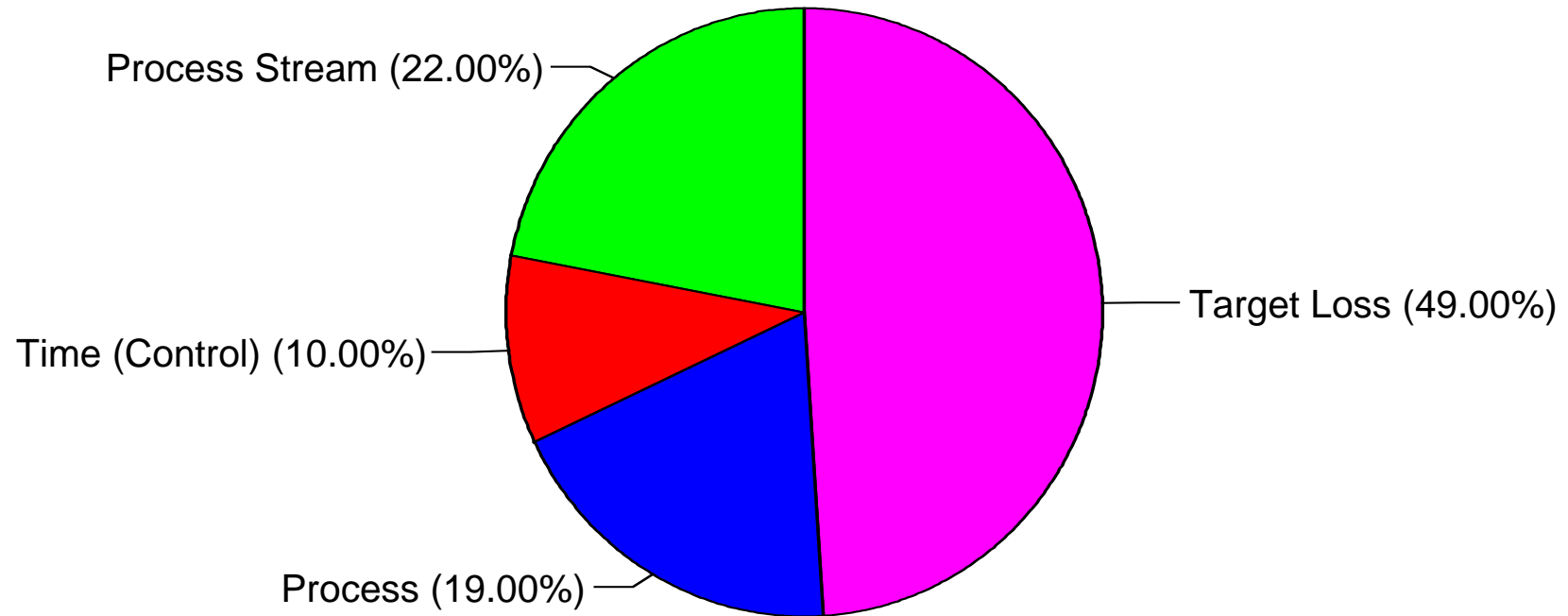
Variance Components

- ◆ Total variance about target, τ^2 , can be decomposed
- ◆ May be done with simple formulas

$$\tau^2 = \sigma^2_{\text{potential}} + \sigma^2_{\text{off-target}} + \sigma^2_{\text{process stream}} + \sigma^2_{\text{time}}$$

Variance Components

Percent Variance Contributions



Presentation Outline

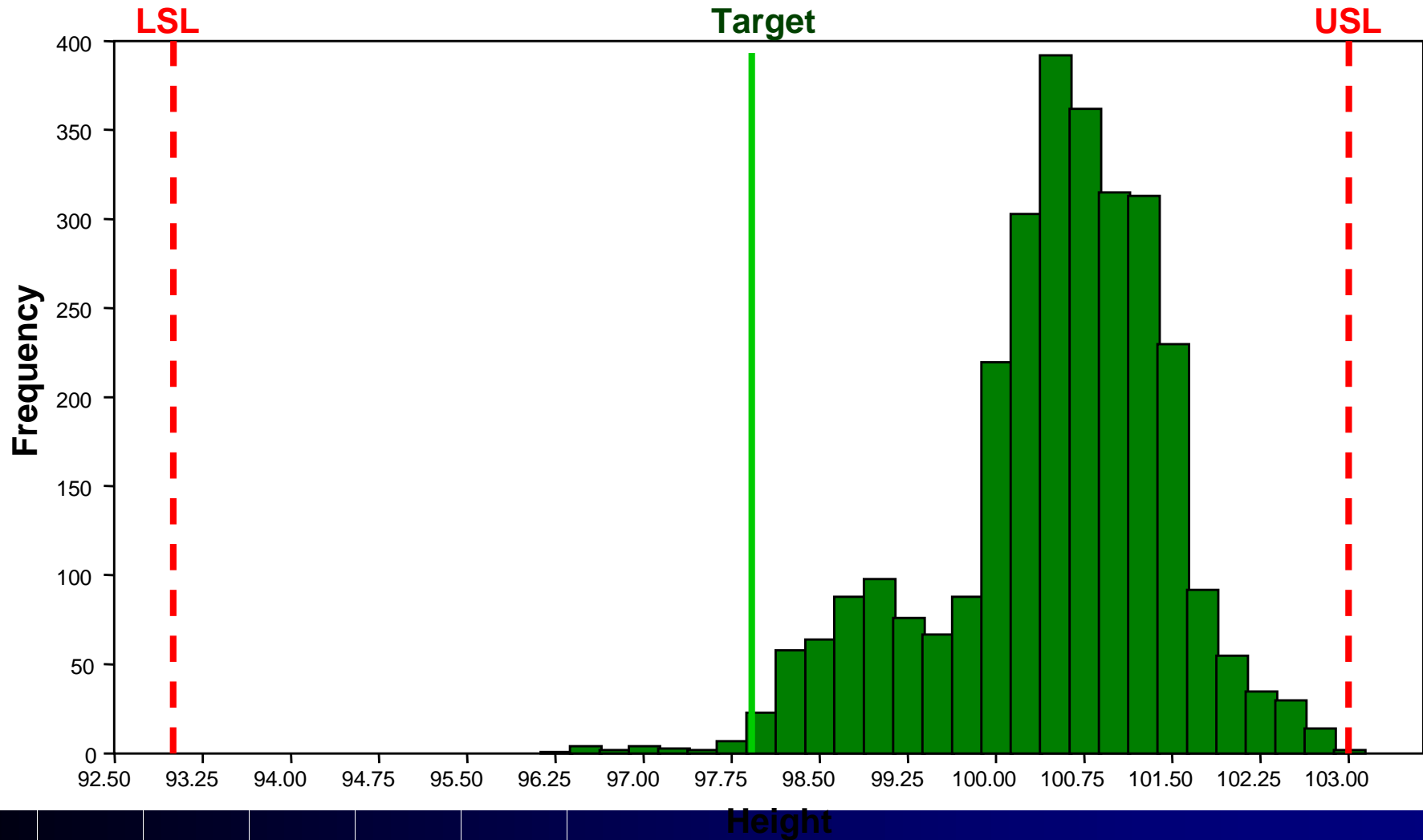
- ◆ Introduction
- ◆ Sampling
- ◆ Process Performance Measures
- ◆ Process Performance Analysis
- ◆ Example

Case Study

Aluminum Lids - Height

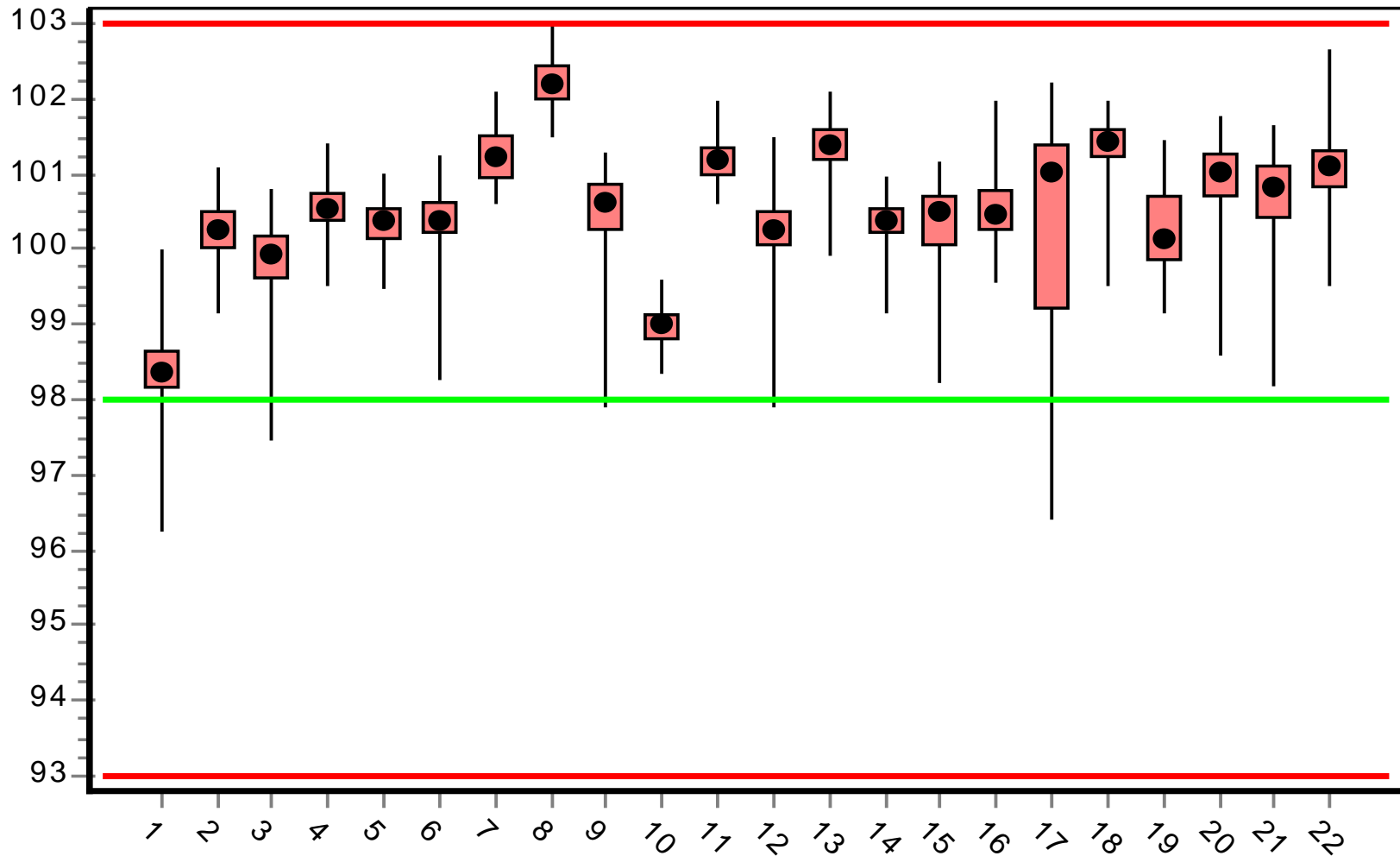
- ◆ Aluminum Lids are produced on a Press with 22 Stations
- ◆ Each day, Lids from each Station are sampled and Lid Height measured
- ◆ Each sample contains a single Lid from each station
- ◆ Data collected over Three-month period
- ◆ Specifications are 98 ± 5

Histogram of Combined Data

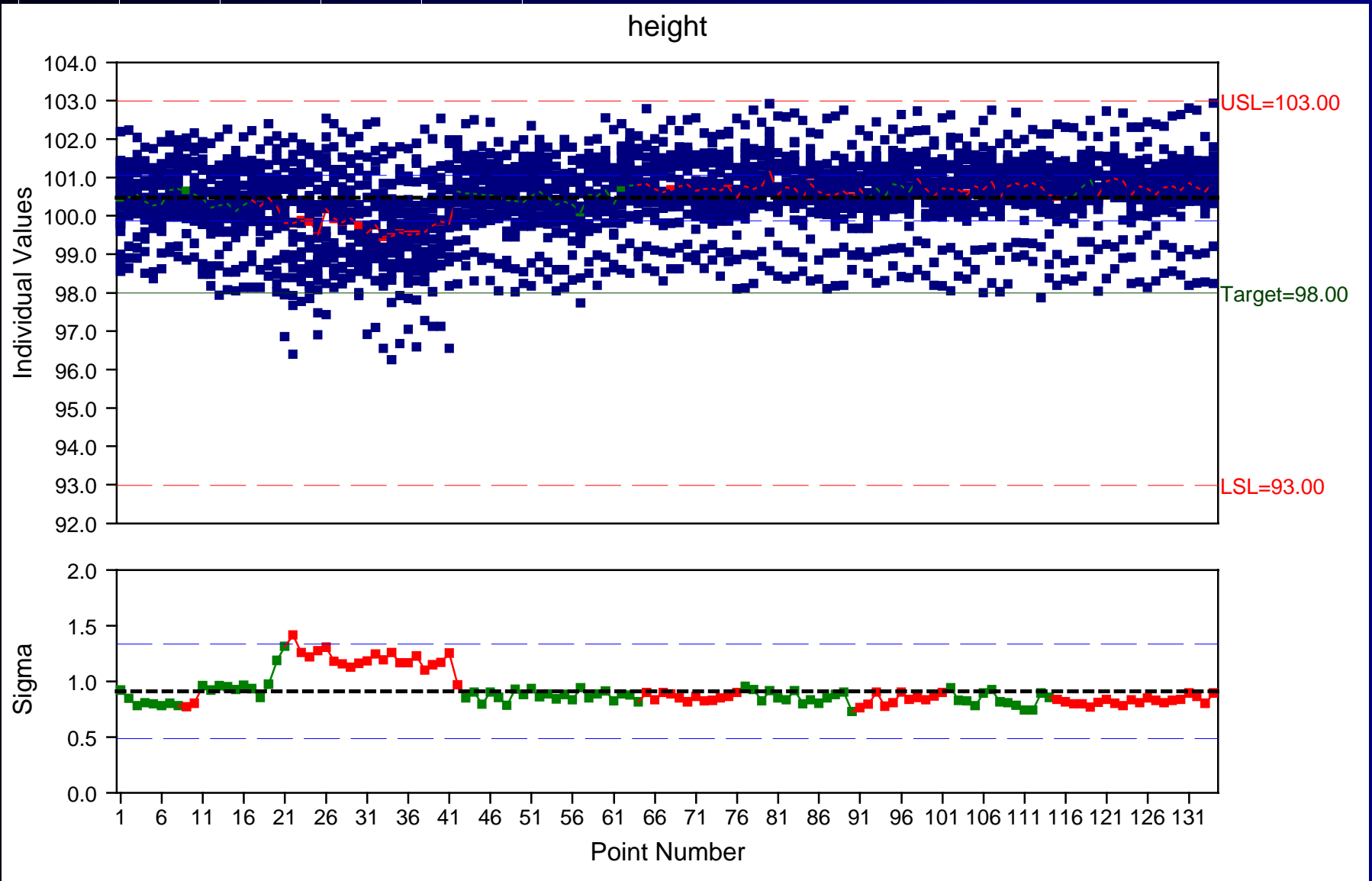


Box Plot of Each Station

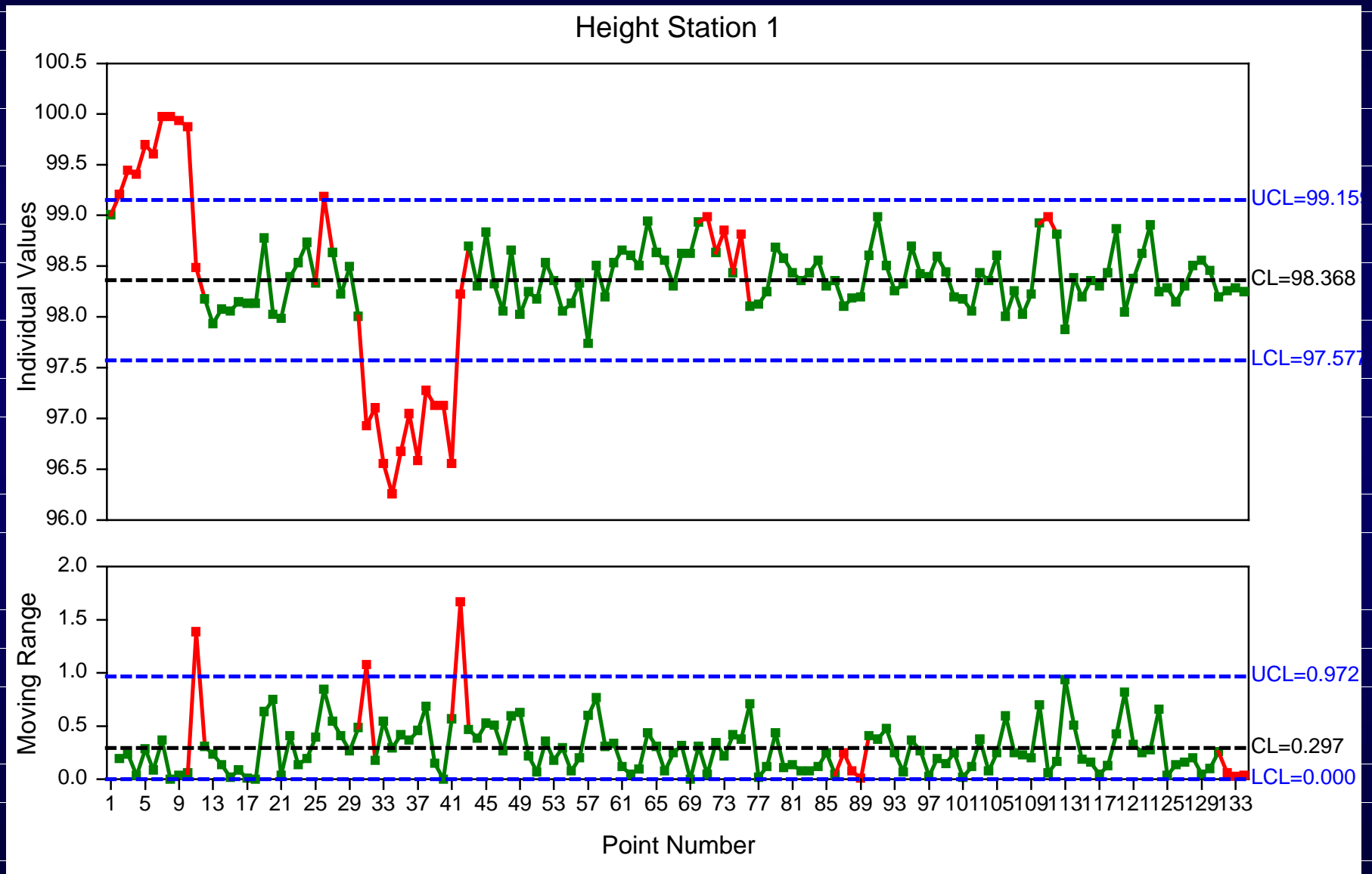
Box & Whisker Plots



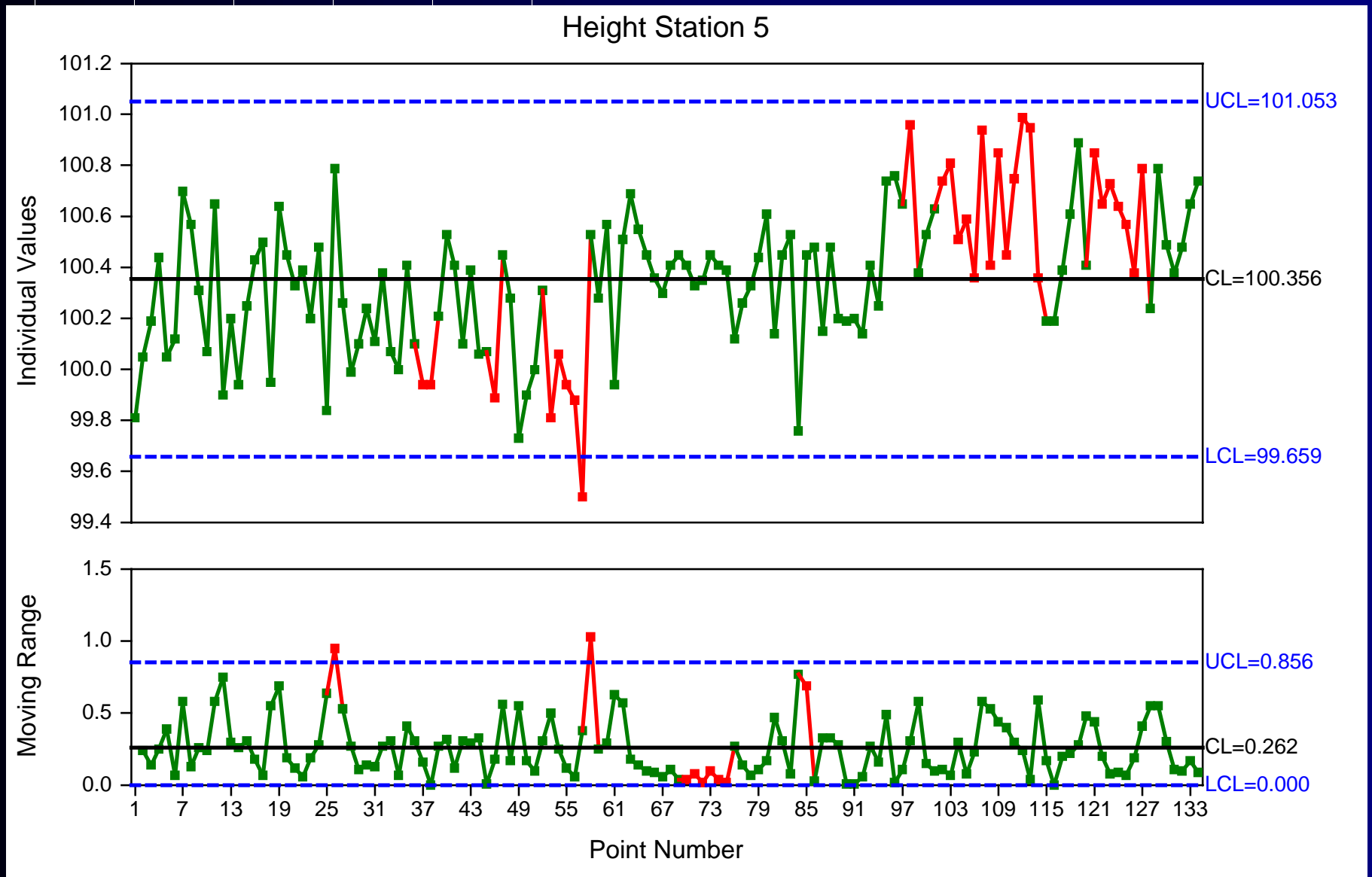
Run Chart of Combined Data



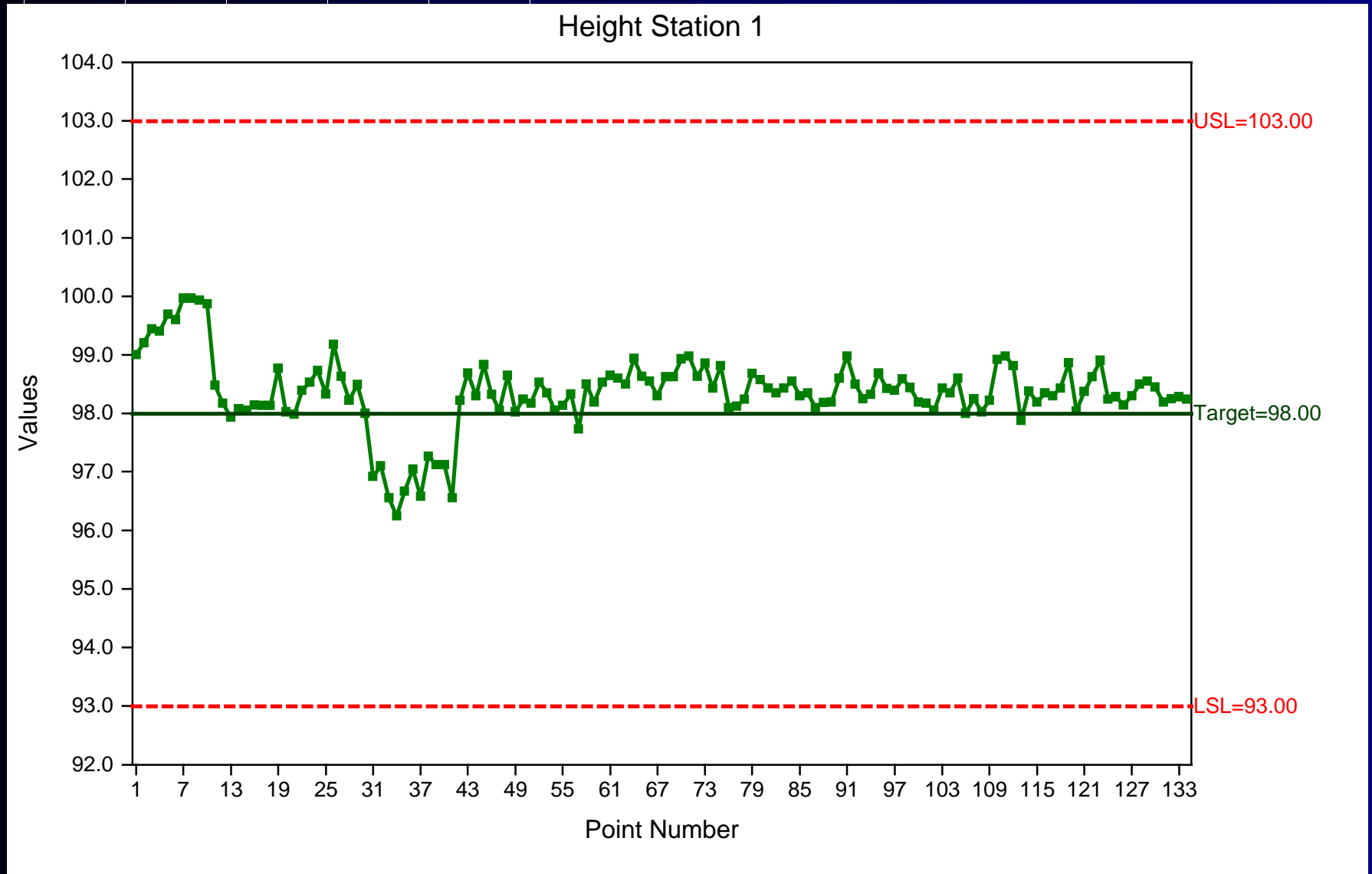
Control Chart from Station #1



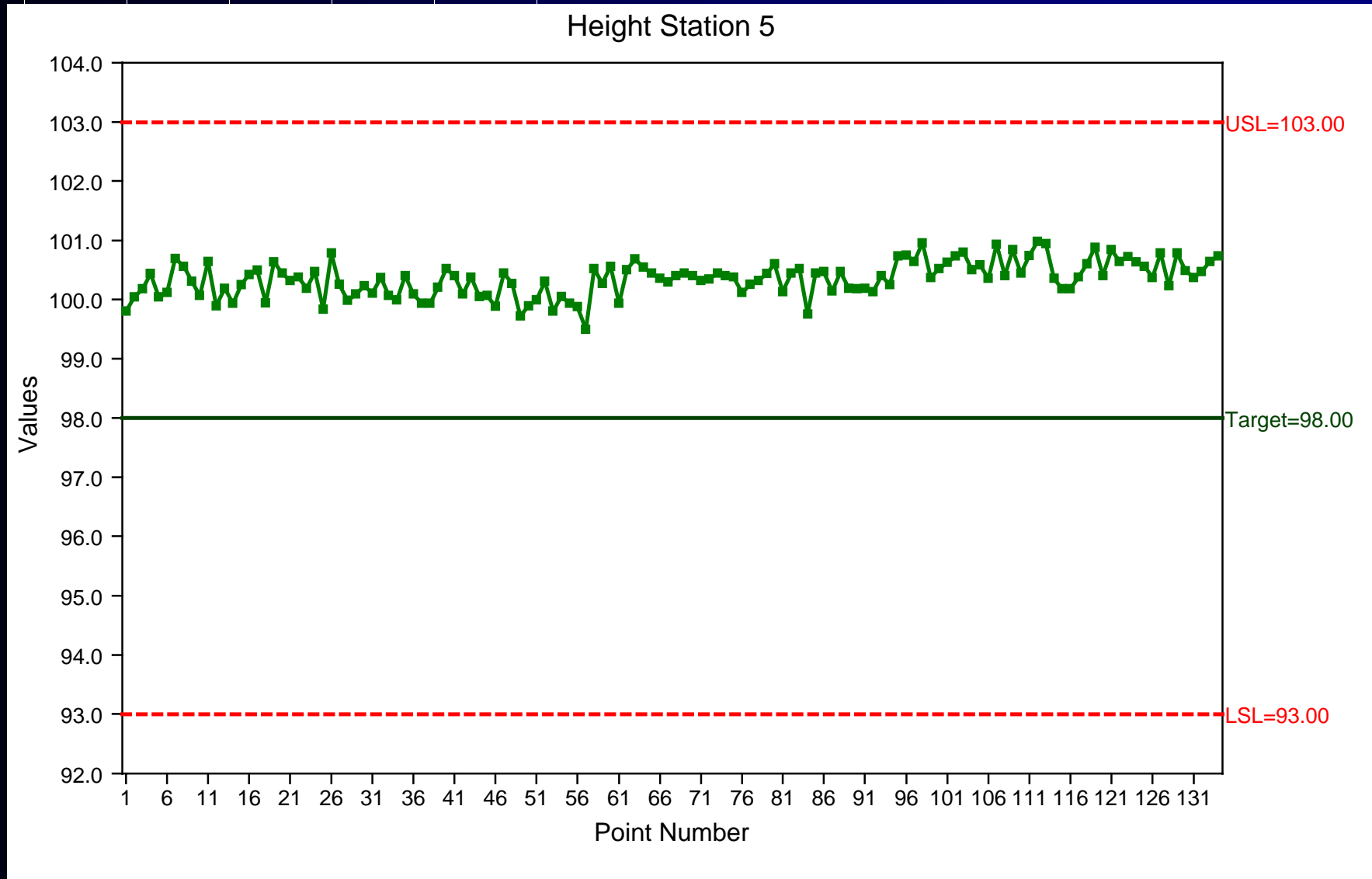
Control Chart from Station #5



Run Chart Station #1 with Specs



Run Chart Station #5 with Specs



Descriptive Statistics

Station	n	mean	s	s (MMR)
1	134	98.37	0.63	0.26
5	134	100.36	0.29	0.23
15	134	100.27	0.68	0.23
20	134	100.80	0.71	0.24
22	134	101.06	0.49	0.27

SQRT (MSW)

(Std Dev Within) = 0.58

AVG Std(MMR)

(Std Dev from Median MR) = 0.23

Descriptive Statistics

n	=	2948
Mean	=	100.48
Std. Dev	=	0.98
Low	=	96.26
Q1	=	100.06
Median	=	100.59
Q3	=	101.14
High	=	102.94

Performance Measures

%Off Target = 24.82%

Max Station Mean = 102.21

Min Station Mean = 98.37

%Process Stream Loss = 38.42%

Ppk = 0.858

Ppm = 0.625

Pp = 1.703

Pp(Stream) = 2.862

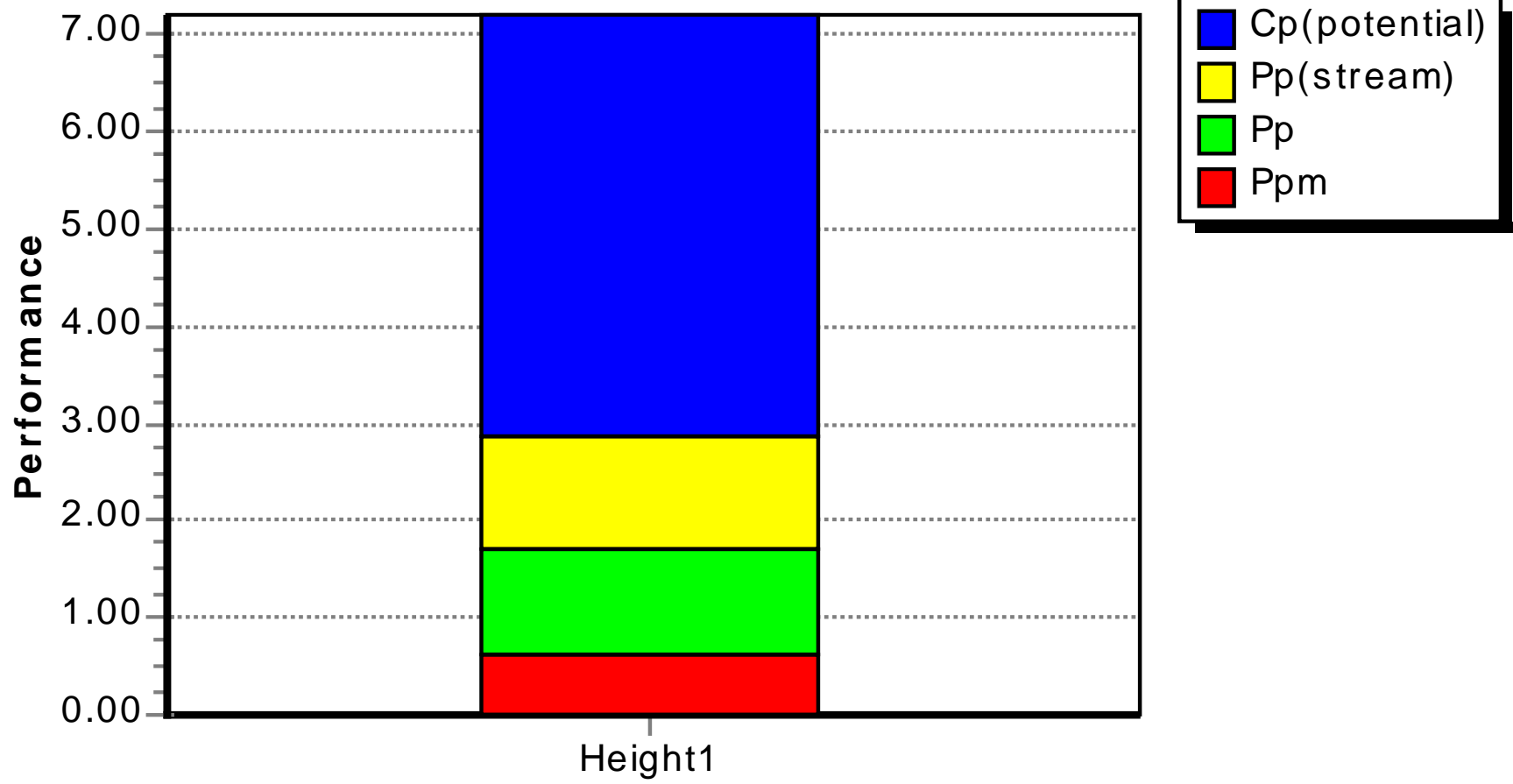
Cp(pot) = 7.212

n = 2948

Total Out = 0 (0 ppm)

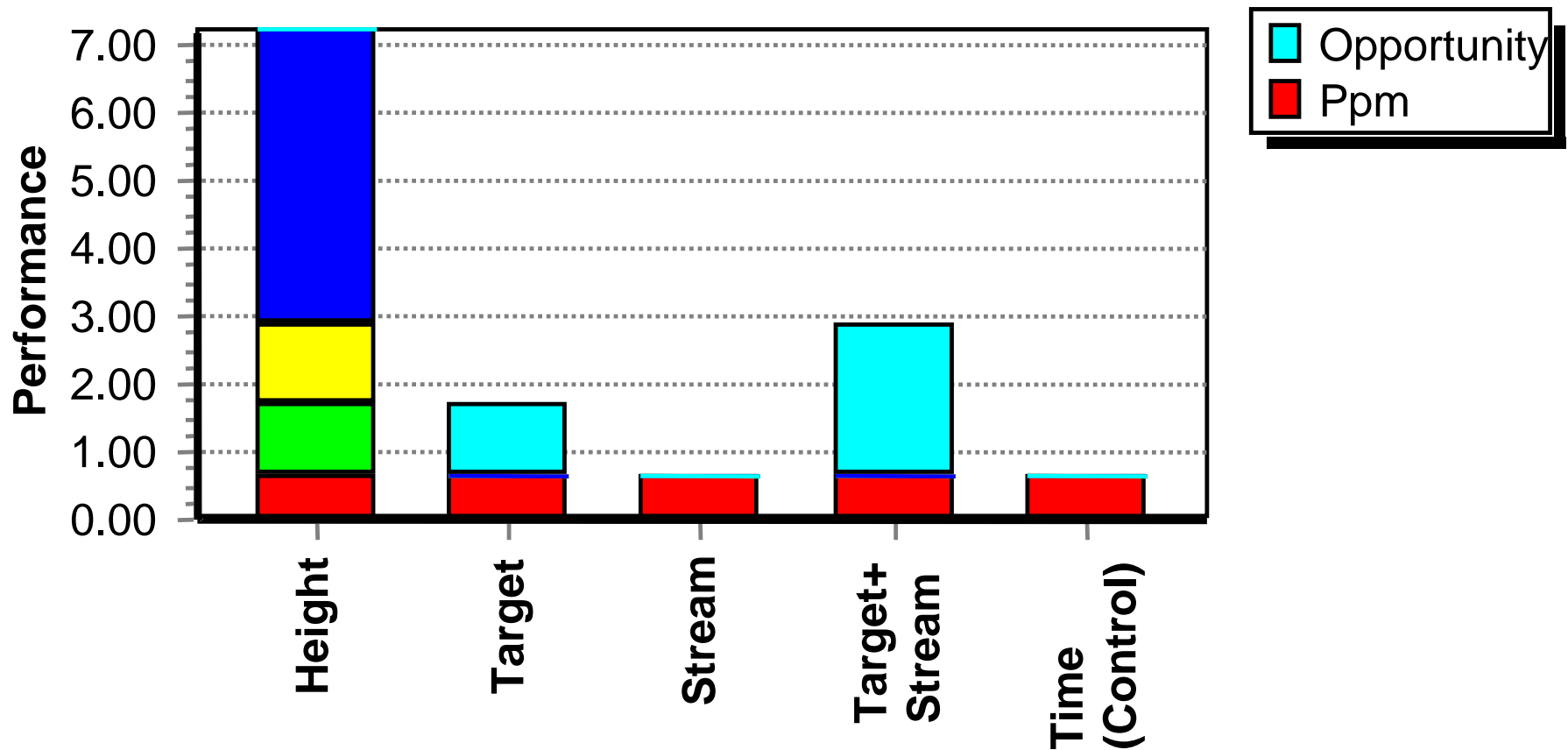
Process Performance Analysis

Process Performance Analysis



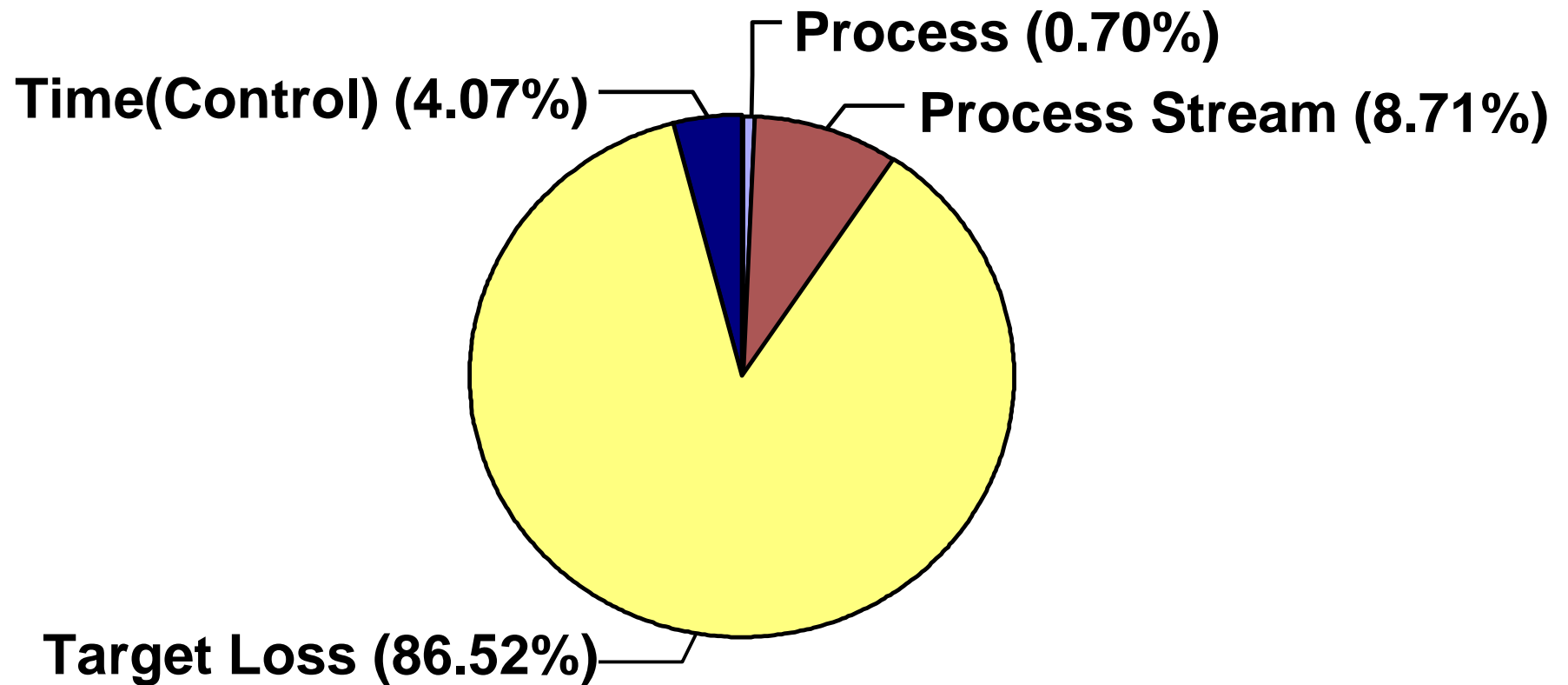
Process Performance Analysis

Process Performance Analysis



Variance Components

Process Performance Analysis Variance Components

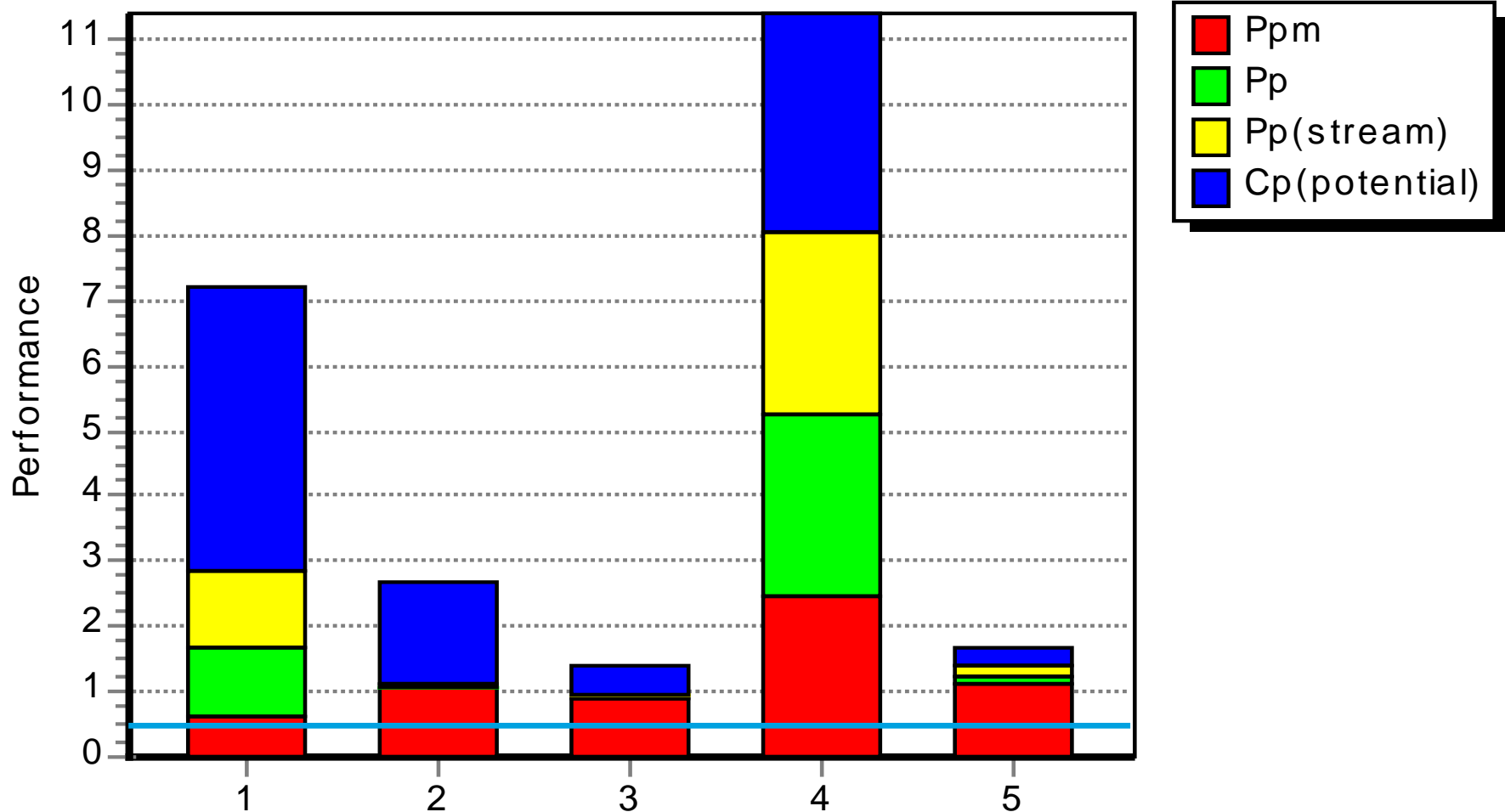


Conclusions for Example

- ◆ Biggest opportunity: getting the individual tools on target
- ◆ Improving through-time stability (control) will have minimal effect in this example

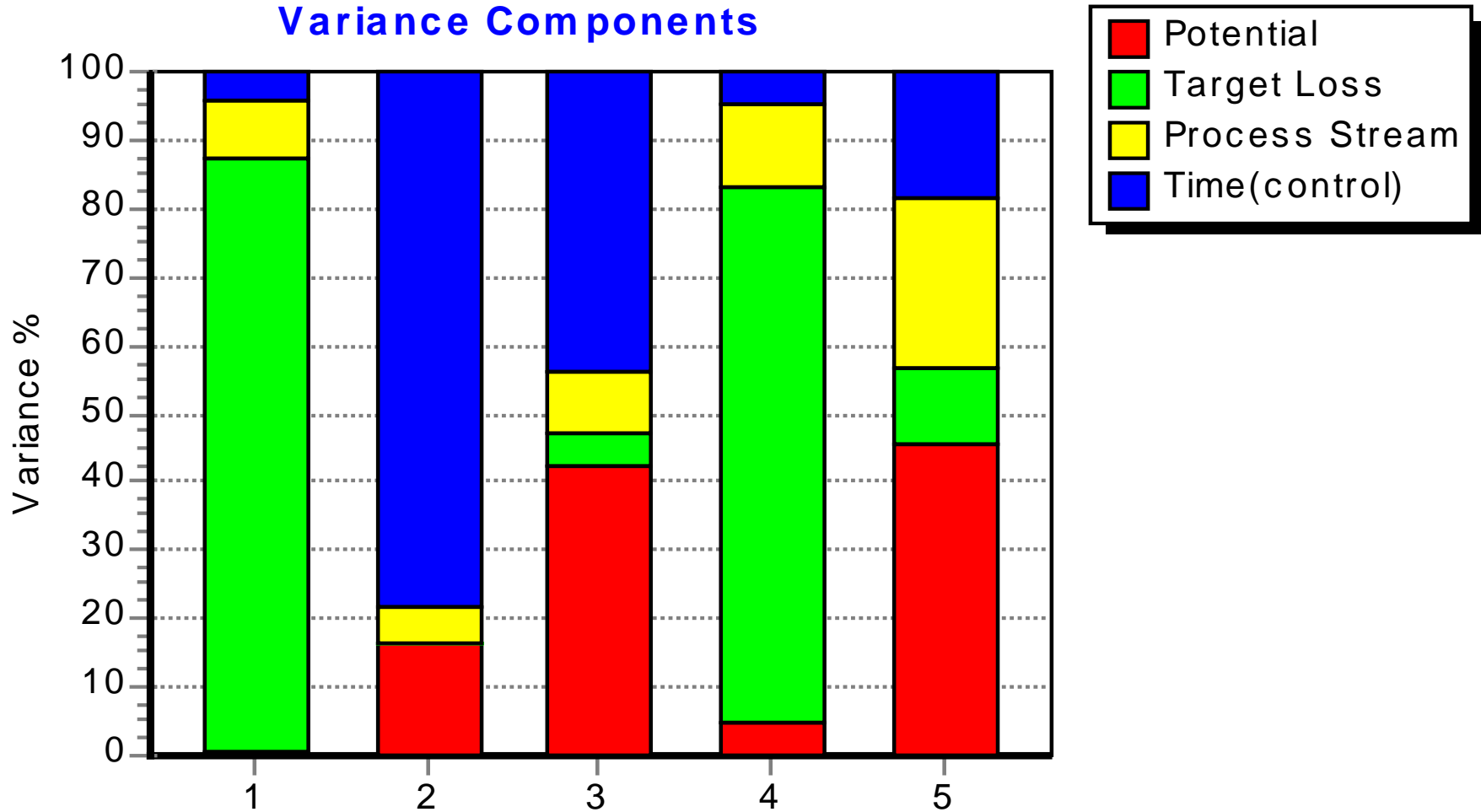
Summary Results of Selected Cases

Process Performance Analysis



Summary Results of Selected Cases

Process Performance Analysis
Variance Components



Conclusions

- ◆ Process Performance Analysis provides an effective means of describing performance of complex processes not in a state of control
- ◆ PPA is an effective means of showing effects of process control and improvement efforts
- ◆ PPA helps the practitioner determine how they are doing, what processes need work and which one do not
- ◆ PPA gives you another way of looking at your processes

The End

